

# Diel changes of the optical backscattering coefficient of oceanic particulate matter determined from diel changes in apparent optical properties: a case study in the Mediterranean Sea (BOUSSOLE site)

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Using *in situ* measurements of radiometric quantities and of the optical backscattering coefficient of particulate matter ( $b_{bp}$ ) at an oceanic site, we show that diel cycles of  $b_{bp}$  are large enough to generate measurable diel variability of the ocean reflectance. This means that biogeochemical quantities such as net phytoplankton primary production, which are derivable from the diel  $b_{bp}$  signal, can be potentially derived also from the diel variability of ocean color radiometry (OCR). This is a promising avenue for basin-scale quantification of such quantities because OCR is now performed from geostationary platforms that enable quantification of diel changes in the ocean reflectance over large ocean expanses. To assess the feasibility of this inversion, we applied three numerical inversion algorithms to derive  $b_{bp}$  from measured reflectance data. The uncertainty in deriving  $b_{bp}$  transfers to the retrieval of its diel cycle, making the performance of the inversion better in the green part of the spectrum (555 nm), with correlation coefficients  $>0.75$  and a variability of 40% between the observed and derived  $b_{bp}$  diel changes. While the results are encouraging, they also emphasize the inherent limitation of current inversion algorithms in deriving diel changes of  $b_{bp}$ , which essentially stems from the empirical parameterizations that such algorithms include. © 2022 Optica Publishing Group

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## 1. INTRODUCTION

Recording from space the changes of the ocean reflectance from dawn to dusk becomes a realistic target with the advent of ocean color sensors on geostationary satellites. The Korean Geostationary Ocean Color Imager (GOCI, [1]) has opened the way since 2010, followed by its successor GOCI-II in 2020 [2,3], and other instruments are announced for launches in the 2020 decade (e.g., the NASA Geosynchronous Littoral Imaging and Monitoring Radiometer [4]). Such missions can typically record the ocean reflectance hourly throughout the course of a day, either targeting specific areas or embracing the full Earth disk [5]. Taking full advantage of these high-frequency top-of-atmosphere observations requires numerical inversion techniques capable of retrieving geophysical properties of

interest with a consistently high accuracy under the changing observation conditions encountered during a day [6].

This work addresses the question as to whether the changes of ocean inherent optical properties (IOPs) that occur during the course of a day are derivable from the changes in reflectance as potentially recorded from a geostationary satellite sensor. This quest stems from evidence of such changes in IOPs observed *in situ*, in particular for the particulate optical backscattering coefficient,  $b_{bp}$  [7–10]. These diel changes of  $b_{bp}$  can be used as a proxy of the accumulation or loss of particulate organic carbon in the euphotic zone through the photosynthesis–respiration balance [8,11], and then as a proxy of net community production [7,12–16]. Therefore, if the  $b_{bp}$  diel variability can be quantified from space from the diel changes in reflectance, a new

way is opened for determination of net community production at large scale from satellite observations.

In open ocean Case I waters (as per [17]),  $b_{bp}$  typically increases from sunrise to about 2–4 h before sunset, and then decreases until next sunrise [18]. The amplitude of this cycle is determined by changes in concentrations, size structure, and refractive index of the different populations of particles, and of phytoplankton in particular. Although the term *diel changes* normally refers to changes involving a 24-hour period that includes a day and the adjoining night, it will be used hereafter for the sake of conciseness to refer to the changes of  $b_{bp}$  that occur during daylight only.

The questions to be addressed are (1) whether the  $b_{bp}$  diel cycle observed *in situ* generates a measurable diel variability in reflectance and, assuming the answer is positive, (2) whether the diel  $b_{bp}$  cycle can be retrieved from existing numerical inversion algorithms of the reflectance spectrum also measured *in situ* at different times of the day and, (3) whether (2) is still feasible when the reflectance is derived from satellite ocean color radiometry. This paper only addresses points (1) and (2).

It is stressed here that correctly retrieving the amplitude of the diel cycle is somehow little dependent on the absolute accuracy of  $b_{bp}$  retrievals, provided that the associated uncertainties do not change significantly for retrievals carried out from reflectances measured at different times of a day (so for various Sun elevations).

These questions were addressed by using high-frequency (every 15 min) field observations of  $b_{bp}$ ; the irradiance reflectance,  $R$ ; the diffuse attenuation coefficient for downward irradiance,  $K_d$ ; and the remote-sensing reflectance,  $R_{rs}$ , performed at the BOUée pour l'acquiSition d'une Série Optique à Long terme site in the Mediterranean Sea (BOUSSOLE [19,20]). Contrary to what was done by Kheireddine and Antoine [18] using the same dataset, average seasonal patterns are not aimed at here. A few case studies have been selected to answer question (1) above, and to illustrate the first challenge in answering question (2).

It must be kept in mind that no fully analytical bio-optical model exists that would allow making fully explicit the dependence of reflectance on IOPs plus the environment conditions (the Sun zenith angle in particular). Therefore, our goal here is precisely to assess whether existing semi-analytical algorithms still can be used for deriving diel variability of  $b_{bp}$  from diel variability of reflectance. We do not claim that we have selected the most appropriate models to perform these retrievals. Instead, we have selected among the most popular methods in order to study their relevance to this specific objective.

## 2. DATA AND METHODS

### A. Field Measurements and Sampling

#### 1. Description of the BOUSSOLE Site and Related Field Operations

Essential information about the site characteristics, the measurement platforms and the instrumentation is provided in [19–21]. Only few elements relevant to the present work are reminded. The BOUSSOLE site is located in deep waters

(2440 m) of the Ligurian Sea, one of the sub-basins of the north-western Mediterranean Sea. An important seasonality exists in physical conditions, with deep mixed layers in winter (~400 m, sometimes down to the bottom), and a marked stratification during summer. This seasonal dynamics drives the seasonal changes in trophic levels from oligotrophy in summer to bloom conditions in spring. There is, accordingly, a large range of optical properties at this site. A buoy has been permanently deployed at the BOUSSOLE site since September 2003 and operates in a quasi-continuous mode, with data acquisition sequences of one minute every 15 min night and day. This platform was specifically designed to measure radiometric quantities in the water column (nominally at 4 m and 9 m), and above the surface (4.5 m above sea level), from which apparent optical properties (AOPs) are derived, typically the irradiance reflectance,  $R$ , the remote-sensing reflectance,  $R_{rs}$ , and the diffuse attenuation coefficient for downward irradiance,  $K_d$ . IOPs, including  $b_{bp}$ , are collected at the same depths in the water column. Two sister buoys equipped with the same set of instruments are used, with rotation performed about every 6 months. The site is visited monthly for buoy servicing, during which 0–400 m CTD casts are performed for acquisition of hydrological data (conductivity, temperature, and pressure), IOPs, and water sampling for subsequent phytoplankton pigment analyses and particulate absorption measurements [22].

#### 2. Particulate Backscattering Coefficient

The total angular scattering coefficient at  $140^\circ$ ,  $\beta(\lambda)(140)$ , was measured at BOUSSOLE using a Hydro-Optics, Biology, and Instrumentation Laboratories (HOBI Labs) Hydroscat-4 backscattering meter installed at 9 m and equipped with filters at 443, 488, 555, and 620 nm. The instrument faceplate is made of copper, which has proven to fully prevent biofouling. The instrument operates at 1 Hz, so that about 60 measurements are collected during each of the 1 min data collection sequences.

The median of these 60 measurements is used to derive a representative value for  $\beta(\lambda)(140)$ . Dark current measurements are performed on site by divers with a black neoprene cap covering the instrument windows. Their average for a given 6 month deployment are subtracted from the raw  $\beta(\lambda)(140)$  measurements. The  $\beta(\lambda)(140)$  values are also corrected for attenuation along the measurement path (the  $\sigma(\lambda)$  correction of Maffione and Dana [23]) using the beam attenuation coefficient measured in parallel (see below) and the total absorption coefficient derived from inversion of  $K_d$  and  $R$  (Eqs. 12 and 13 in [24]). The  $b_{bp}(\lambda)$  coefficient is derived from the corrected  $\beta(\lambda)(140)$  as follows [25]:

$$b_{bp}(\lambda) = 2\pi \chi_p (\beta(\lambda)(140) - \beta_w(\lambda)(140)) \text{ (m}^{-1}\text{)}, \quad (1)$$

where  $\chi_p = 1.13$  [26] and where  $\beta_w(\lambda)(140)$ , the contribution of pure seawater scattering at  $140^\circ$ , is computed following [27,28] using the temperature and salinity measured at the same depth with a Seabird SBE-37SI CTD sensor. All results shown in this paper use the  $b_{bp}$  values at 555 nm and 443 nm.

The particulate organic carbon, POC, is derived from  $b_{bp}$  at 555 nm following Loisel *et al.* [10]:

$$\text{POC} = 37750 b_{bp}(555) + 1.3(\text{mg m}^{-3}). \quad (2)$$

### 3. Particulate Beam Attenuation Coefficient

The transmittance ( $T_r$ , %) at 650 nm is measured at BOUSSOLE at 4 and 9 m with 25 cm-pathlength Western Environmental Technology Laboratories (Wet Labs) C-Star transmissometers (acceptance angle is  $1.2^\circ$ ). Instruments are factory calibrated with de-ionized, ultra-filtered, UV screened water. The transmissometers operate at 6 Hz so that about 360 measurements are collected during each of the 1 min data collection sequence. The median of these 360 measurements is kept as representative of the 1 min record. Note that only the data collected at 9 m depth have been used in this study. The corresponding particulate beam attenuation coefficient,  $c_p$ , is then calculated as

$$c_p(650) = -\frac{1}{0.25} \ln\left(\frac{T_r}{100}\right) \text{ (m}^{-1}\text{)}. \quad (3)$$

This method assumes that non-water absorption, by colored dissolved organic matter in particular, is negligible at 650 nm [29]. The instrument bodies are covered with copper tape. Source and detector windows are equipped with copper rings and are cleaned about every 2 weeks by divers using soft brushes. These measures have proven efficient in preventing biofouling in most cases. Possible remaining corrupted data are identified from the comparison of data collected before and after cleaning operations. They are eliminated and not used here.

The same instruments are deployed on the monthly casts, and their measurements are used to correct for possible calibration bias or drifts in the buoy transmissometer data.

### 4. Particulate Backscattering Ratio

The backscattering ratio,  $\tilde{b}_{bp}(\lambda)$ , is derived from  $b_{bp}(\lambda)$  and  $c_p(650)$  as follows:

$$\tilde{b}_{bp}(\lambda) = \frac{b_{bp}(\lambda)}{c_p(650)\left(\frac{\lambda}{650}\right)^n} \text{ (adimensional)}, \quad (4)$$

which assumes that  $c_p(650)$  is equal to the particle scattering coefficient at 650 nm,  $b_p(650)$  (negligible absorption). This assumption was validated by particulate absorption measurements using the quantitative filter pad technique, which show an average  $a_p(650)$  contribution to  $c_p(650)$  of 2.5% only. The spectral dependence (exponent  $n$ ) is derived from chlorophyll  $a$  as per [30], with changes from  $-1$  to  $0$  when [Chl] increases from  $0.02$  to  $2 \text{ mg m}^{-3}$ . These values are consistent with measurements made with a Wet Labs AC-9 during the BOUSSOLE cruises (Antoine *et al.* [19]). Errors on the exponent within the  $[-1, 0]$  range would entail uncertainties of about 10% on deriving  $b_{bp}(\lambda)$  over the spectral distance here considered.

### 5. Phytoplankton Pigments

Discrete sampling is performed during the BOUSSOLE cruises between the surface and 200 m. Seawater samples are collected from Niskin bottles and filtered through 25 mm diameter Whatman GF/F filters ( $0.7 \mu\text{m}$  retention capacity), stored in liquid nitrogen during the cruise, and then transferred at  $-80^\circ\text{C}$  in the laboratory until algae pigment contents are measured using high-performance liquid chromatography,

following [31]. The total chlorophyll  $a$  concentration ([Chl]) is computed as the sum of the concentrations of Chl  $a$ , chlorophyllide  $a$ , and divinyl Chl  $a$ . The data obtained from samples at 5 m and 10 m are used here.

### 6. Radiometric Quantities and Apparent Optical Properties

All radiometric measurements described hereafter were collected using Satlantic OCI-200 Series radiometers. They are placed at the extremity of horizontal arms on the buoy for measuring the downward and the upward irradiance,  $E_d$  and  $E_u$ , and the upwelling nadir radiance,  $L_u$ , at 4 and 9 m, and also on the top of the buoy (4.5 m a.s.l.) to measure the above-surface downward irradiance,  $E_s$ . These radiometers are equipped with seven cosine collectors at different spectral bands (412, 443, 490, 510, 555, 665, and 680 nm). The underwater instruments containers are covered with copper tape to protect them against biofouling, and divers clean the optical surfaces about every 2 weeks. These radiometers operate at 6 Hz so that about 360 measurements are collected during each of the 1 min data collection sequences. The median value of each 1 min record is kept as representative of the measurement. An average dark current value is computed from data collected during nighttime and is subtracted from all measurements.

From these measurements, the irradiance reflectance is determined as (wavelength dependence omitted in what follows)

$$R = \frac{E_u(0^-)}{E_d(0^-)} \text{ (adimensional)}, \quad (5)$$

where  $E_d(0^-)$  is the downward irradiance just below the sea surface. It is calculated as  $E_s$  reduced by transmission across the air-water interface, i.e.,  $E_s$  times 0.97 [32]. The upward irradiance just beneath the surface,  $E_u(0^-)$ , is obtained from

$$E_u(0^-) = E_u(z_4)e^{K_u Z_4} \text{ (}\mu\text{W cm}^{-2} \text{ nm}^{-1}\text{)}, \quad (6)$$

where  $z_4$  is the depth of the shallowest buoy arm (nominally at 4 m) and  $K_u$  is the diffuse attenuation coefficient for the upward irradiance.  $K_u$  is computed from the measurements of  $E_u$  collected at the two buoy measurement depths:

$$K_u = -\frac{1}{\Delta z} \ln\left(\frac{E_u(z_9)}{E_u(z_4)}\right) \text{ (m}^{-1}\text{)}, \quad (7)$$

where  $z_9$  is the depth of the deepest buoy arm (nominally at 9 m) and  $\Delta z = z_9 - z_4$ .

The remote-sensing reflectance,  $R_{rs}$ , is computed as

$$R_{rs} = \frac{L_w}{E_s} \text{ (sr}^{-1}\text{)}, \quad (8)$$

where  $E_s$  is the above-surface downward solar irradiance and the water-leaving radiance,  $L_w$ , is computed as

$$L_w = L_u(0^-) \frac{1-\rho}{n^2} \text{ (}\mu\text{W cm}^{-2} \text{ nm}^{-1} \text{ sr}^{-1}\text{)}, \quad (9)$$

where  $\rho$  is the water-air reflection coefficient (set to 0.043), and  $n$  is the refractive index of seawater (1.34).

$L_u(0^-)$  is obtained as

$$L_u(0^-) = L_u(Z_4) e^{K_{Lu} Z_4} \text{ (}\mu\text{W cm}^{-2} \text{ nm}^{-1}\text{)}, \quad (10)$$

where

$$K_{Lu} = -\frac{1}{\Delta z} \ln \left( \frac{L_u(z_9)}{L_u(z_4)} \right) \quad (\text{m}^{-1}). \quad (11)$$

The diffuse attenuation coefficient for the downward irradiance in the upper layers is computed as

$$K_d = -\frac{1}{Z_9} \ln \left( \frac{E_d(Z_9)}{E_d(0^-)} \right) \quad (\text{m}^{-1}). \quad (12)$$

The effect of buoy and instrument self-shading on underwater radiometers was determined with the *SimulO* 3D backward Monte Carlo code [33] and corrected through lookup tables generated for individual instrument geometries [34], whereas extrapolation errors on  $L_u(0^-)$  and  $E_u(0^-)$  are corrected through lookup tables [20] generated with *HydroLight* code [35].

Because  $K_d$  significantly depends on the Sun zenith angle,  $\theta_s$ , its normalized values are also computed as follows:

$$K_{d,n} = K_d * \mu_d(\theta_s, [\text{Chl}]) \quad (\text{m}^{-1}), \quad (13)$$

where  $\mu_d$  is the average cosine of the downward irradiance and is taken from precomputed lookup tables generated through *HydroLight* radiative transfer computations including Raman emission and a [Chl]-varying volume scattering function (VSF) for particles [36]. The full dependence of  $K_d$  on the Sun zenith angle and IOPs is therefore taken into account.

There is no need for such a correction for  $R_{rs}$ , which by definition [Eq. (8)] already includes this normalization.

The photosynthetically available radiation (PAR) was also measured above the surface (4.5 m a.s.l.) by a Satlantic PAR sensor (400–700 nm,  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ).

## B. Inversion Algorithms

Three algorithms have been used to derive IOPs from numerical inversion of AOPs, in an attempt to evaluate the uncertainties related to algorithm formulation. The first one was developed by Morel *et al.* [24] (MOR in the following) and allows deriving total absorption,  $a$ , and total backscattering,  $b_b$ , from  $R$  and  $K_d$ . This approach combines two equations [37–39] (wavelength omitted):

$$K_d = 1.0395 \frac{a + b_b}{\mu_d(\theta_s, [\text{Chl}])} \quad (\text{m}^{-1}), \quad (14)$$

and

$$R = f'(\theta_s, [\text{Chl}]) \frac{b_b}{a + b_b}, \quad (15)$$

where  $\mu_d$  is as described above [Eq. (13)] and  $f'$  is a factor that conceals dependencies of  $R$  on the Sun zenith angle and the IOPs [40]. A precomputed lookup table is derived from the same radiative transfer simulations as for  $K_d$ .

Combining Eqs. (14) and (15) leads to

$$b_b = 0.962 \mu_d(\theta_s, [\text{Chl}]) K_d (R / f'(\theta_s, [\text{Chl}])) \quad (\text{m}^{-1}), \quad (16)$$

and

$$a = 0.962 \mu_d(\theta_s, [\text{Chl}]) K_d (1 - R / f'(\theta_s, [\text{Chl}])) \quad (\text{m}^{-1}). \quad (17)$$

The second inversion algorithm that was used is version 6 of the quasi-analytical algorithm (QAA, [41]), which uses  $R_{rs}$  as input quantity. Briefly, the total absorption spectrum is first derived from  $R_{rs}$ , after selecting a reference wavelength (555 or 667 nm). The calculation of absorption and backscattering are propagated to other wavelengths, assuming that the particulate backscattering varies spectrally in a power-law function [42]. The non-water absorption and backscattering coefficients are derived without any assumption about the spectral shapes of the absorption coefficients of each constituent.

The third algorithm is the GSM semi-analytical bio-optical model [43,44], which also uses  $R_{rs}$  as input quantity. GSM is based on the quadratic relationship between  $R_{rs}$  and the absorption and backscattering coefficients from [42], which assumes known spectral shapes for specific absorption coefficients of phytoplankton, dissolved and detrital matters, and specific backscattering coefficients for particles. GSM simultaneously retrieves [Chl], the combined absorption coefficient of the particulate and dissolved organic material at 443 nm, and the  $b_{bp}$  at 443 nm ( $b_{bp}$  at other wavelengths is derived from a power law of wavelength with exponent 1.0337 [45]).

The Lee *et al.* [46] empirical Raman scattering correction was applied to  $R_{rs}$  measurements before they are given as inputs to the QAA and GSM algorithms. Such a correction is not needed for MOR because the  $f'$  and  $\mu_d$  lookup tables were generated from radiative transfer simulations including Raman scattering.

Whatever the algorithm, the particulate backscattering coefficient is obtained by removing the water contribution from the total  $b_b$ , in the same way than for the *in situ* measurements (i.e., from [27,28]).

## C. Radiative Transfer Computations

The consistency between diel changes of the measured AOPs and measured  $b_{bp}$  was verified through radiative transfer computations, before AOPs are introduced into inversion algorithms.

In this optical closure exercise, radiative transfer simulations are parameterized with IOPs that follow the observed diel patterns as measured *in situ* at BOUSSOLE for two wavelengths (443 and 555 nm). The values of  $R$ ,  $K_d$ , and  $R_{rs}$  obtained from these simulations can then be compared with the observations.

The *HydroLight* code [37] has been used, which solves the radiative transfer equation through the invariant imbedding technique and includes Raman scattering. Input parameters to *HydroLight* were set as follows.

- (1) Absorption and scattering coefficients of pure seawater, as well as the molecular phase function are known and prescribed in the code [47,48]. They are kept constant throughout the day.
- (2) The non-water absorption coefficient of the water body is unknown (not measured). Therefore, it was computed following [30], which uses the irradiance and the diffuse attenuation for downward irradiance to determine the total non-water absorption from [Chl] (Eqs. (8) to (14) in [30]). It was kept constant whatever the time of the day given that only one [Chl] value per day was available, implicitly assuming no diel changes in the non-water absorption. The high-frequency chlorophyll  $a$  fluorescence measurements



collected at BOUSSOLE cannot be used to infer possible daily changes in [Chl] because of non-photochemical quenching of fluorescence.

- (3) For particulate scattering, the measured particle attenuation coefficient at 650 nm,  $c_p(650)$ , is used as a proxy for  $b_p(650)$ . A spectral dependence is applied to get  $\tilde{b}_p$  at 443 and 555 nm, following Eq. 14 in [30], where the spectral dependence is expressed as a power law whose exponent varies from  $-1$  to  $0$  when [Chl] increases from  $\sim 0.02$  to  $\sim 2 \text{ mg m}^{-3}$ .
- (4) The scattering phase function of the particulate matter was derived from the [Chl]-dependent parameterization in [36]. This parameterization was run for each time step using the [Chl] value that led to a VSF with the same  $\tilde{b}_{bp}(\lambda)$  as the measured value. By doing so, the diel cycle of  $b_{bp}$  can be taken into account.
- (5) Boundary conditions included the Sun zenith angle (computed as a function of the day, time, and latitude), the sky radiance conditions (clear-sky model [49] with an aerosol optical thickness set to a fixed value of 0.2 at 550 nm), and the sea state (wind speed of  $5 \text{ m s}^{-1}$ ).

#### D. Selected BOUSSOLE Datasets

Four situations with increasing total chlorophyll  $a$  concentrations ( $0.13, 0.26, 0.84,$  and  $2.23 \text{ mg m}^{-3}$ ) have been selected to show how  $b_{bp}$ ,  $R$ ,  $R_{rs}$ , and  $K_d$  evolve along the course of a day.

The two cases with the lowest concentrations are from summer (July–August), and the other two from the spring phytoplankton bloom (April). This selection follows the expectation that the magnitude of  $b_{bp}$  will increase, and the shape of its diel variability will change when waters become greener [18]. The four cases are for clear skies.

A larger dataset ( $N = 1290$ ) has also been used to perform the closure experiment and then to quantify the performance of the inversions. This larger dataset includes clear-sky data collected from 9 am to 5 pm local time at all seasons and for years 2006 to 2015. The selection criteria essentially include stable physical conditions, as in [9]. Table 1 provides environmental information for this dataset.

#### E. Statistical Indicators

In order to assess the performances of the inversion algorithms, the following metrics were calculated, in  $\log_{10}$  space, between retrieved and measured optical properties: the square of the Pearson correlation coefficient ( $r^2$ ), the root mean square error [RMSE, Eq. (18)], the bias [i.e., the mean error, Eq. (19)], and the mean absolute error [MAE, Eq. (20)]:

**Table 1. Seasonal Repartition of the Selected Dataset**

	Winter (DJF)	Spring (MAM)	Summer (JJA)	Fall (SON)	Total
Number of days	9	20	28	15	72
Number of <i>in situ</i> data points	155	361	504	270	1290
[Chl] range ( $\text{mg m}^{-3}$ )	0.1–1.6	0.1–3	0.1–0.6	0.1–0.8	0.1–3

$$\text{RMSE} = 10^{\wedge} \left( \sqrt{\frac{\sum_{i=1}^N [\log_{10}(M_i) - \log_{10}(O_i)]^2}{N}} \right), \quad (18)$$

$$\text{bias} = 10^{\wedge} \left( \frac{\sum_{i=1}^N (\log_{10}(M_i) - \log_{10}(O_i))}{N} \right), \quad (19)$$

$$\text{MAE} = 10^{\wedge} \left( \frac{\sum_{i=1}^N |\log_{10}(M_i) - \log_{10}(O_i)|}{N} \right), \quad (20)$$

where  $N$  is the number of measurements,  $M$  is the retrieved value, and  $O$  the measured *in situ* value, respectively.

### 3. RESULTS

#### A. Examples of Diel Variations of $b_{bp}$ , $R$ , $R_{rs}$ , and $K_d$

The concurrent diel changes of the measured  $b_{bp}$ ,  $R$ ,  $R_{rs}$ , and  $K_d$  for the four selected cases are shown in Fig. 1 for  $\lambda = 443 \text{ nm}$  and  $555 \text{ nm}$ . The  $b_{bp}$  diel cycles [Figs. 1(A)–1(D)] are characterized by a general increase as the day progresses, with minimal  $b_{bp}$  around sunrise and maximum observed 3–6 h before sunset. The relative daily changes with respect to the value at sunrise observed in  $b_{bp}$  ( $\tilde{\Delta} b_{bp}$ ) vary between 15% and 60%. These observations are consistent with [18].

Diel variability is also observed for  $R$  and  $K_d$  at 555 nm and 443 nm whatever the trophic situation [Figs. 1(E)–1(T)], with a decrease from a maximum at sunrise to a minimum at solar noon and an increase toward another maximum at sunset. These diel variations are actually largely driven by changes in solar elevation.

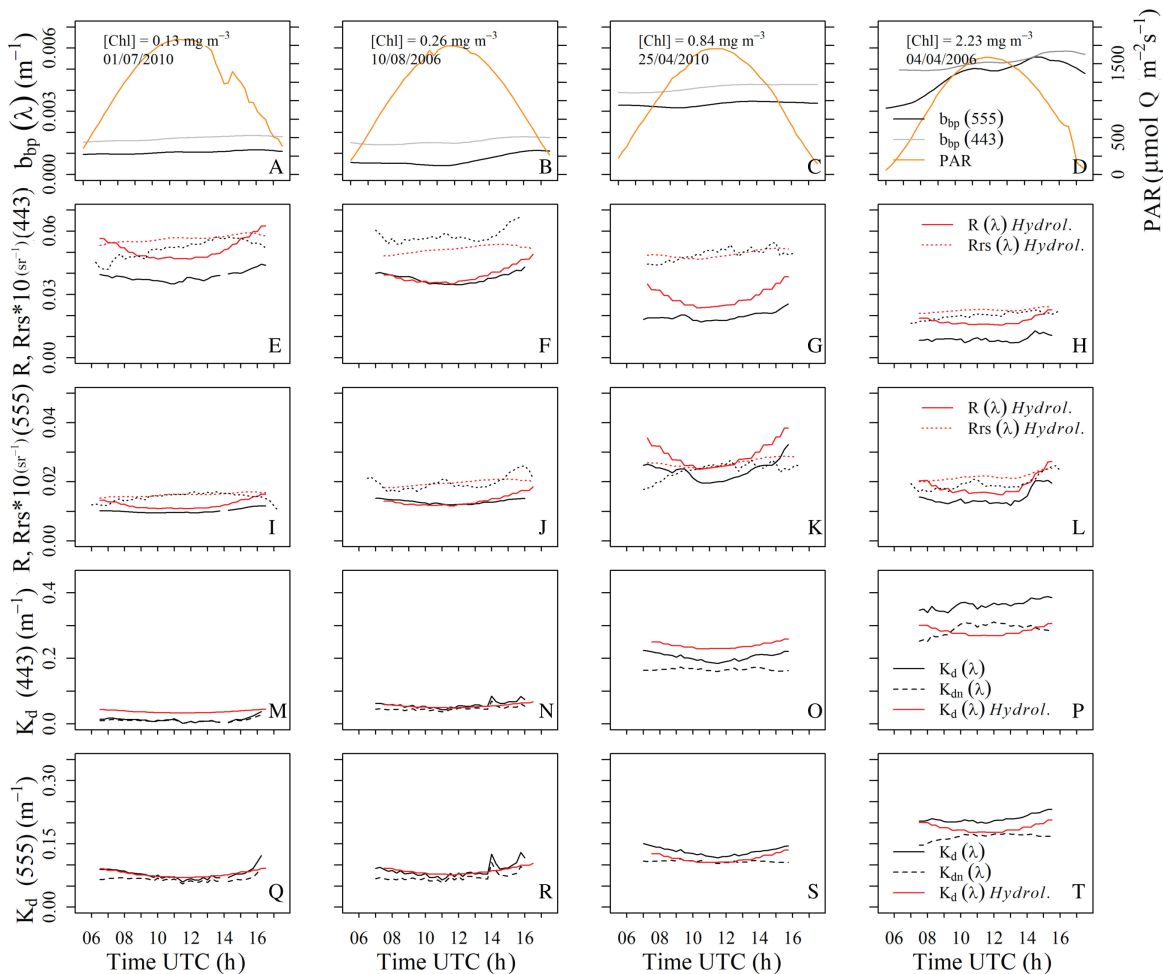
Little diel variations are observed for  $K_{d,n}$ , which can be considered a proxy of absorption. This observation supports the hypothesis we made about a constant non-water absorption coefficient in the radiative transfer calculations. Other studies (e.g., Schallenberg *et al.* [50]) have also shown an absence of diel variations in [Chl] in other environments.

The diel variability in  $R_{rs}$ ,  $R$ , and  $K_d$  measurements was calculated as the relative amplitude for each parameter from sunrise to their observed maximum during the day (Fig. 2). Overall, the  $\Delta R$  values range between 15% to 55%, with a changing slope around noon whatever the wavelength [Figs. 2(A)–2(H)]. In general, the relative changes tend to increase with increasing [Chl]. The  $\Delta K_d$  are generally below 15% [Figs. 2(I)–2(P)]. The  $\Delta K_{d,n}$  are much smaller and do not display a significant diel cycle.

#### B. Optical Closure

As mentioned previously, optical closure was performed on a larger dataset in order to verify the consistency of the measured AOPs and  $b_{bp}$ . The comparison indicates good agreement (Fig. 3, Table 2) confirming consistency of the dataset.

Furthermore, the diel changes of the modeled  $R$  and  $K_d$  compare well with those of the field determinations (red *versus* black curves in Fig. 1), except perhaps when the assumption of no diel changes in [Chl] is invalid, i.e., for the highest [Chl] values [Figs. 2(H), 2(L), 2(P), and 2(T)]. This consistency among field measurements of  $b_{bp}$  and AOPs indicates that it can be



**Fig. 1.** Examples of daily changes of  $b_{bp}$  (A)–(D), sampling dates and daily course of PAR, orange line, are displayed too),  $R$  and  $R_{rs}$  (E)–(L), and  $K_d$  (M)–(T) at 443 and 555 nm. The continuous black curves are for the measured quantities (except  $R_{rs}$ , dotted curve), the dashed black curves for the normalized values ( $K_{d,n}$ ), and the red curves for the  $R$  and  $K_d$  values obtained from radiative transfer computations.

reasonably expected that an accurate numerical inversion of the measured AOPs would be capable of retrieving the diel changes observed for  $b_{bp}$ .

### C. Retrieving $b_{bp}$ and Its Diel Cycle

The results obtained with the three algorithms are first displayed for the full dataset, irrespective of how the diel variability is retrieved (Fig. 4).

Overall, good results for the QAA and GSM algorithms are obtained in the blue ( $\lambda = 443$  nm) and the green ( $\lambda = 555$  nm) [Figs. 4(C), 4(D), 4(E), and 4(F)], whereas the MOR algorithm showed only a good comparison at 555 nm [Figs. 4(A) and 4(B)], (Table 2).

Nevertheless, degraded performances appear for the QAA and GSM algorithms in the low range for  $\lambda = 555$  nm, with a significant overestimation of  $b_{bp}$  values  $< 0.001$   $\text{m}^{-1}$  [Figs. 4(D) and 4(F)], whereas MOR still works reasonably well [Fig. 4(B)]; significant dispersion but lower bias.

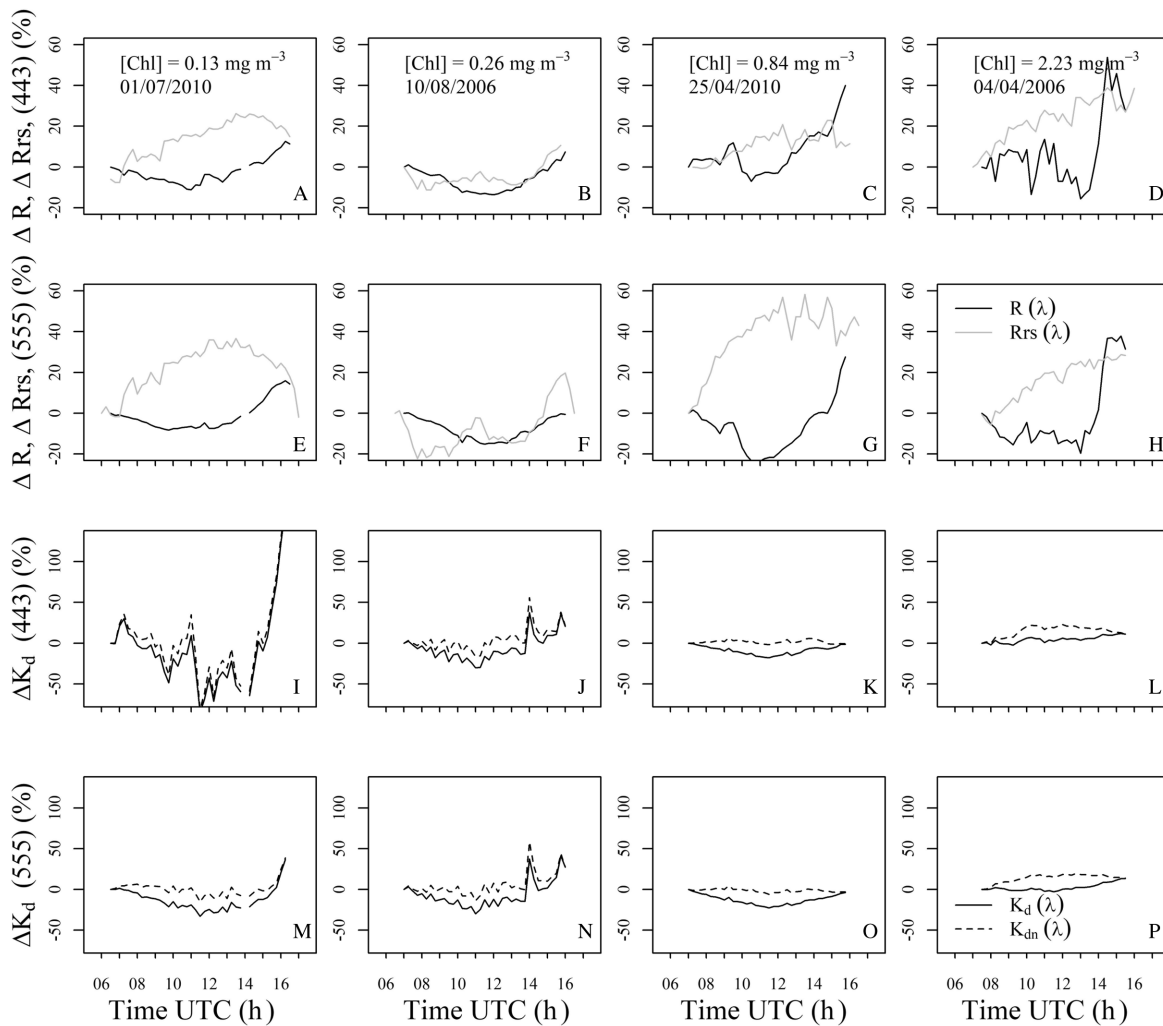
These results also show that it is difficult to get accurate estimations of  $b_{bp}$  in the blue by using only blue bands for the inversion [MOR, Fig. 4(A)], whereas better results are obtained

when using methods that constrain the  $b_{bp}$  derivation using more spectral bands [QAA and GSM; Figs. 4(C) and 4(E)].

The comparison between the  $b_{bp}$  values obtained from inversion of the measured  $R$  and  $K_d$  or  $R_{rs}$  and their measured values for the four case studies is shown in Figs. 5(A)–5(D) for  $\lambda = 443$  nm and Figs. 5(E)–5(H) for  $\lambda = 555$  nm. Again, the best results are obtained at 555 nm. Although the retrieved values generally reproduce the observed daytime increase of  $b_{bp}$ , significant differences are observed in the amplitude of  $b_{bp}$  [e.g., Fig. 5(G)] and in the shape of its diel changes [e.g., Fig. 5(A)].

The results for the same four examples are also displayed in relative amplitudes of the diel change in  $b_{bp}$  from sunrise,  $\tilde{\Delta} b_{bp}$ , as calculated either from direct measurements or from AOPs inversion [Figs. 6(A)–6(D)] for  $\lambda = 443$  nm and Figs. 6(E)–6(H) for  $\lambda = 555$  nm]. The retrieved values are higher by a factor of  $1.4 \pm 0.5\%$  in the green and of  $1.7 \pm 1.1\%$  in the blue with respect to measured values.

Finally, the comparison between the relative amplitude calculated from modeled and from measured  $b_{bp}$  data has been extended beyond the four case studies here selected, by applying the inversion to a larger dataset (see Section 2.D and Table 1).



**Fig. 2.** Examples of daily relative changes (%) of  $\Delta R$  (black line; (A)–(D) at 443 nm, (E)–(H) at 555 nm),  $\Delta R_{rs}$  (gray line; (A)–(D) at 443 nm, (E)–(H) at 555 nm), and  $\Delta K_d$  at 443 nm (I)–(L) and  $\Delta K_d$  at 555 nm (M)–(P). The dashed black curves in panels I–P are for the normalized values ( $K_{d,n}$ ).

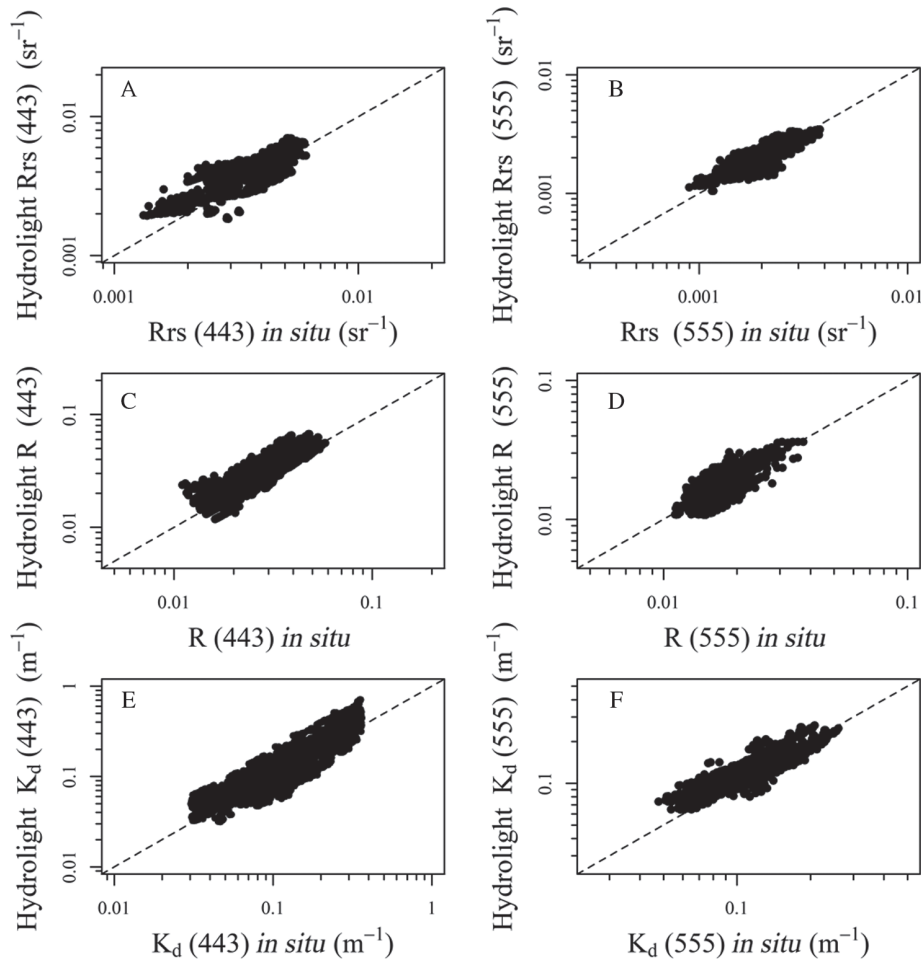
The comparison shows that it is possible to obtain satisfactory values of  $\Delta b_{bp}$  at 555 nm (Fig. 7(B)), whereas the performances at 443 nm are poorer [Fig. 7(A)], although this is largely due to the lower performance of MOR at 443 nm (Table 3). We also looked at the comparison between the absolute diel changes of  $b_{bp}$ ,  $[\Delta b_{bp}]$ , calculated either from modeled or measured data at 443 and 555 nm [Figs. 7(C) and 7(D)]. Unsurprisingly, we observed a better accuracy in the green [Fig. 7(D)] than in the blue [Fig. 7(C)] (Table 3).

#### 4. DISCUSSION AND CONCLUSIONS

Our case study has shown that the amplitude of the  $b_{bp}$  diel cycle observed *in situ* is large enough to generate a measurable diel variability in reflectance. Although this result could be anticipated because the reflectance is at first order proportional to  $b_b$ , it still had to be verified quantitatively. Reflectance is, however, also inversely proportional to absorption. Diel increases in absorption would have to parallel those in  $b_{bp}$  to make their ratio, and then the reflectance, little variable over the day. This

is not what we observe, suggesting that possible changes in absorption are not large enough to counterbalance those in  $b_{bp}$  in shaping the diel variability of reflectance. If absorption would evolve in the opposite way to  $b_{bp}$ , which is not *a priori* expected, the result would be to enhance the diel variability in reflectance. The closure calculations have confirmed that the diel changes in AOPs could be well reproduced by accounting only for diel changes in  $b_{bp}$ , ignoring possible diel changes in absorption.

However, significant differences were observed between the  $b_{bp}$  values retrieved from AOPs and those obtained from the *in situ* measurements, questioning whether the performance of the inversion algorithms are good enough to allow deriving rather small daily changes of  $b_{bp}$  from AOPs measurements taken at different times of a day. These inaccuracies are not specific to the four case studies selected here, as if their selection would have been inappropriate. When the inversion algorithms were applied to a larger dataset, the retrieved *versus* measured  $b_{bp}$  values show a significant dispersion (Fig. 4, Table 2). In particular, MOR performs better in the green (i.e., 555 nm) [ $r^2 = 0.67$ , Fig. 4(B)] with no noticeable degradation for low  $b_{bp}$  values,



**Fig. 3.**  $R_{rs}$  (top row),  $R$  (middle), and  $K_d$  (bottom) values computed by HydroLight compared to those measured *in situ* at 443 nm (A), (C), (E) and at 555 nm (B), (D), (F).

**Table 2.** Statistics for the Comparisons Presented in Figs. 3 and 4: Number of Points ( $N$ ), Correlation Coefficient ( $r^2$ ), bias, Mean Absolute Error (MAE), and Root Mean Square Error (RMSE)

$\lambda$	$N$	$r^2$	bias	MAE	RMSE
<b>HydroLight <math>R_{rs}</math> versus <i>in situ</i> <math>R_{rs}</math></b>					
443	1290	0.78	1.16	1.22	1.27
555	1290	0.79	1.04	1.10	1.13
<b>HydroLight <math>R</math> versus <i>in situ</i> <math>R</math></b>					
443	1290	0.72	1.12	1.19	1.24
555	1290	0.79	0.97	1.13	1.16
<b>HydroLight <math>K_d</math> versus <i>in situ</i> <math>K_d</math></b>					
443	1290	0.71	1.19	01.20	1.26
555	1290	0.81	1.09	1.16	1.20
<b><math>b_{bp}</math> retrieved versus <i>in situ</i> <math>b_{bp}</math></b>					
MOR					
443	1290	0.37	1.35	1.45	1.53
555	1290	0.67	0.86	1.25	1.33
QAA					
443	967	0.67	1.33	1.34	1.41
555	967	0.65	1.40	1.60	1.72
GSM					
443	1003	0.49	0.88	1.34	1.32
555	1003	0.48	1.36	1.41	1.53

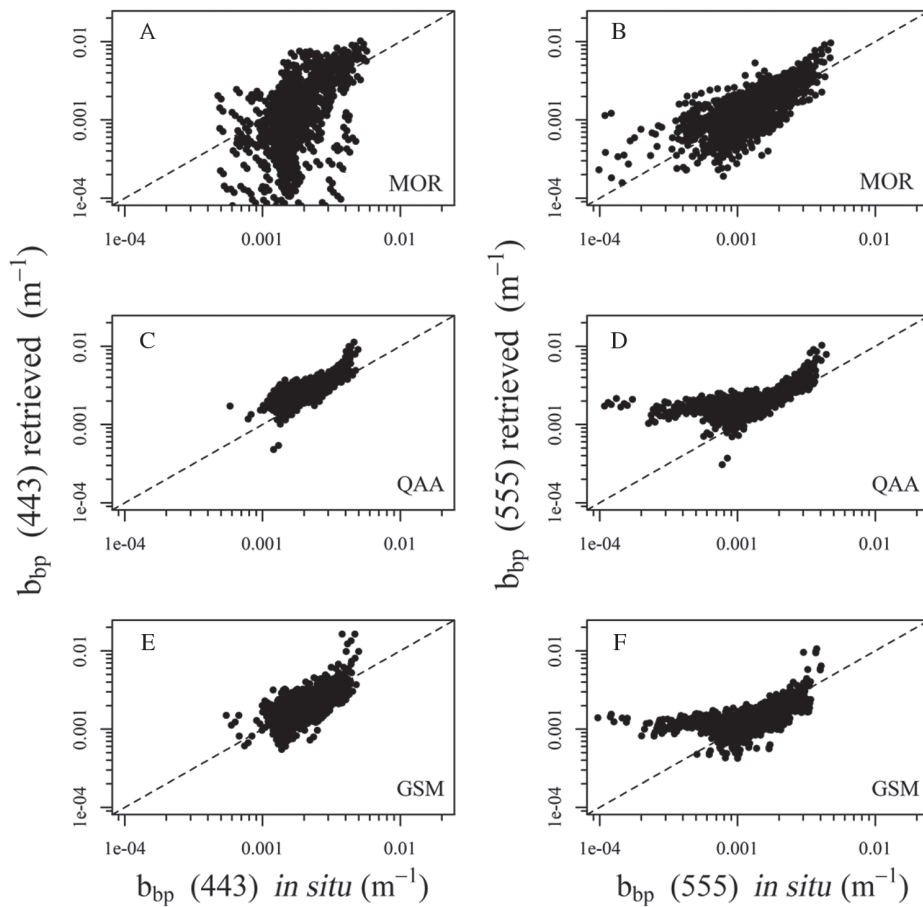
as compared to the blue [ $r^2 = 0.37$  instead of 0.67; Fig. 4(A)]. These results are questioning the possibility to obtain accurate estimations of  $b_{bp}$  at 443 nm using Eq. (16) in oligotrophic waters.

It could be, however, hypothesized that these inaccuracies would not change when inverting AOPs taken at different times of a day, so that they only marginally affect the retrieval of the quantity of interest here, namely the daily change in  $b_{bp}$  and ultimately the daily change in POC,  $\Delta$ POC.

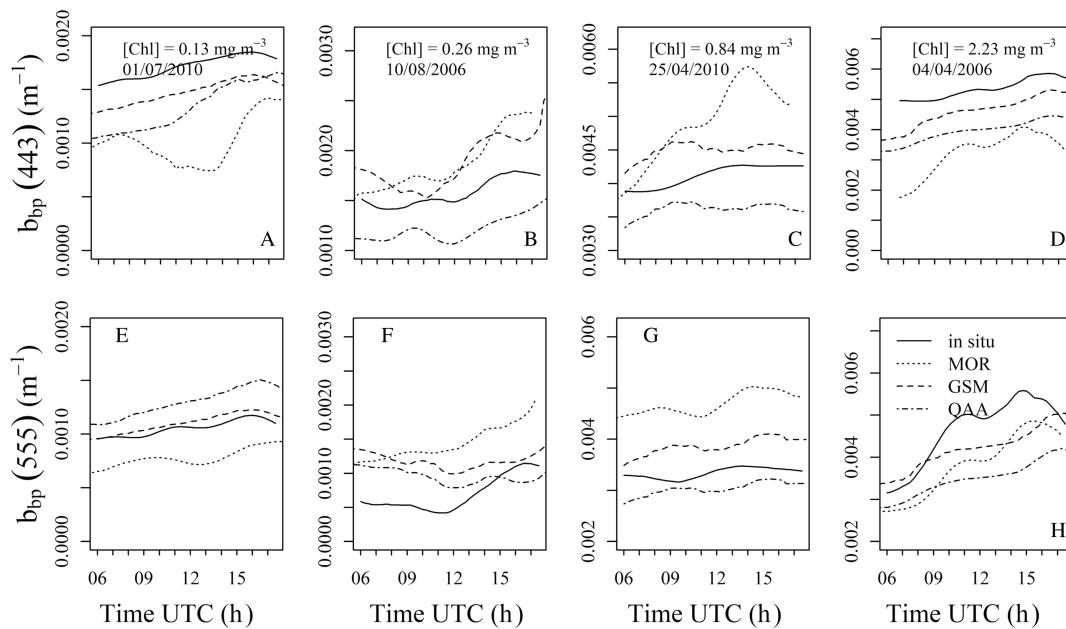
This hypothesis seems confirmed in the green for MOR, where  $\tilde{\Delta}b_{bp}$  and  $\Delta$ POC are retrieved with good accuracy [Figs. 7(B) and 8(B)] but, again, not in the blue, where a large dispersion is observed [Figs. 7(A) and 8(A)]. Therefore, using only the blue part of the spectrum is likely inappropriate to perform inversion of  $R$  and  $K_d$  in view of deriving  $\tilde{\Delta}b_{bp}$ . The QAA and GSM algorithms provide similarly good results in the green [Figs. 7(B) and 8(D), 8(F), Table 3] and better estimates in the blue region [Figs. 7(A), 7(C) and Figs. 8(C), 8(E), and Table 3] as compared to MOR.

As far as the  $R - K_d$  algorithm (i.e., MOR) is concerned, the conclusions can be tempered, however, by reminding that the algorithm was not operated in ideal conditions, i.e., a single [Chl] value has been used for a given day to derive  $\mu_d$  and  $f'$  from lookup tables, whereas the actual [Chl] value at a given

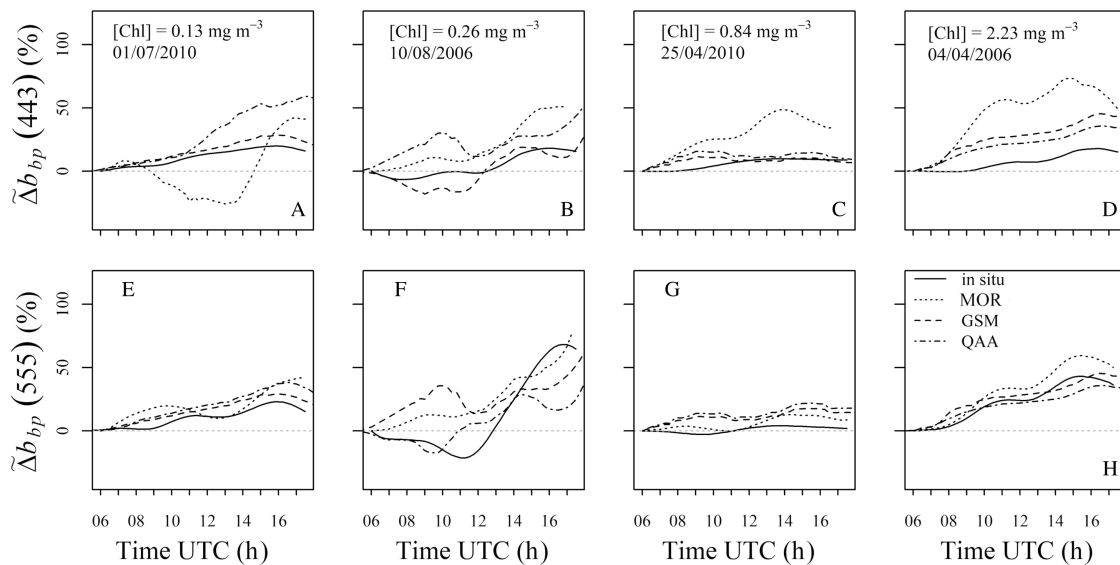




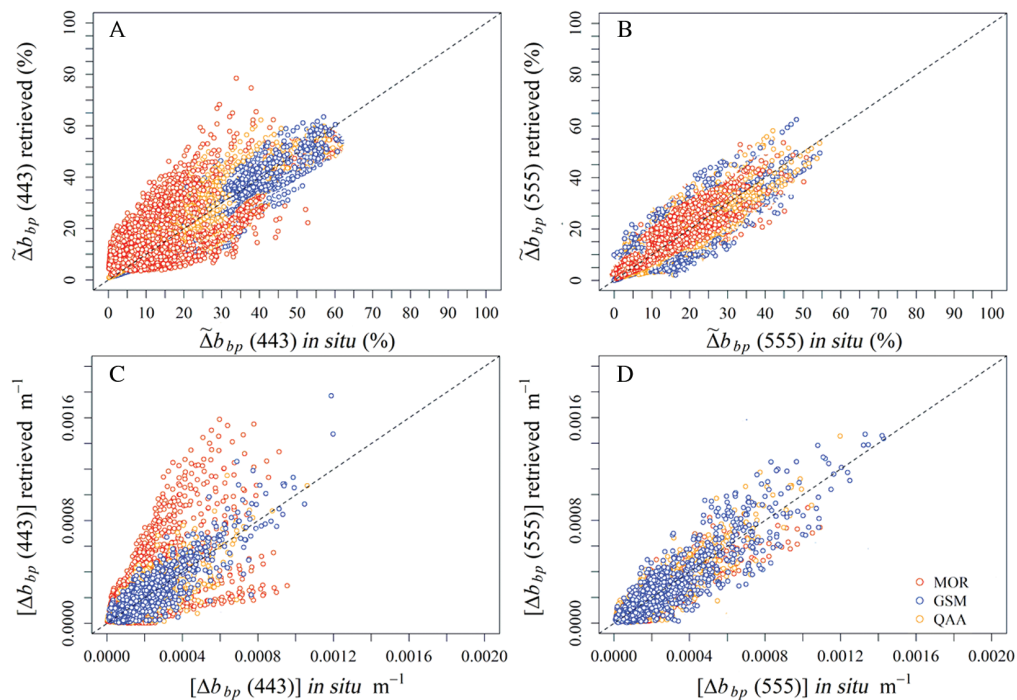
**Fig. 4.**  $b_{bp}$  retrieved from inversion of AOPs as a function of the measured  $b_{bp}$ , for  $\lambda = 443$  nm (A), (C), (E) and  $\lambda = 555$  nm (B), (D), (F). Results are shown for the three inversion algorithms, as indicated on each panel. The dashed line is the 1:1 line.



**Fig. 5.** Daily changes in measured  $b_{bp}$  (solid curves) and in the values retrieved with the three inversion algorithms (as indicated on panel H), for the four case studies.



**Fig. 6.** As in Fig. 5 but for the relative change in  $b_{bp}$  from sunrise.



**Fig. 7.** (A), (B),  $\tilde{\Delta}b_{bp}$  calculated from  $b_{bp}$  values obtained from inversion of AOPs using the three algorithms, as a function of the same quantity derived from the field measurements. (A) is for  $\lambda = 443$  nm, (B) is for  $\lambda = 555$  nm, and the color coding is indicated in panel (D). (C), (D), as in (A), (B) but for the absolute diel change of  $b_{bp}$ . The dashed line is the 1:1 line.

time of the day should in principle have been used to perform the  $R - K_d$  inversion at that time. High-frequency measurements of [Chl] are, however, rarely carried out at sea, and diurnal variations of [Chl] are poorly documented. The chlorophyll fluorescence signal neither can be used to assess these changes in surface waters because it is impacted by non-photochemical quenching. Corrections can be applied, e.g., [51], but their performance is difficult to assess. It cannot be excluded that such variability exists, however, and that ignoring it could lead to uncertainties in the retrieved  $b_{bp}$  values [52,53].

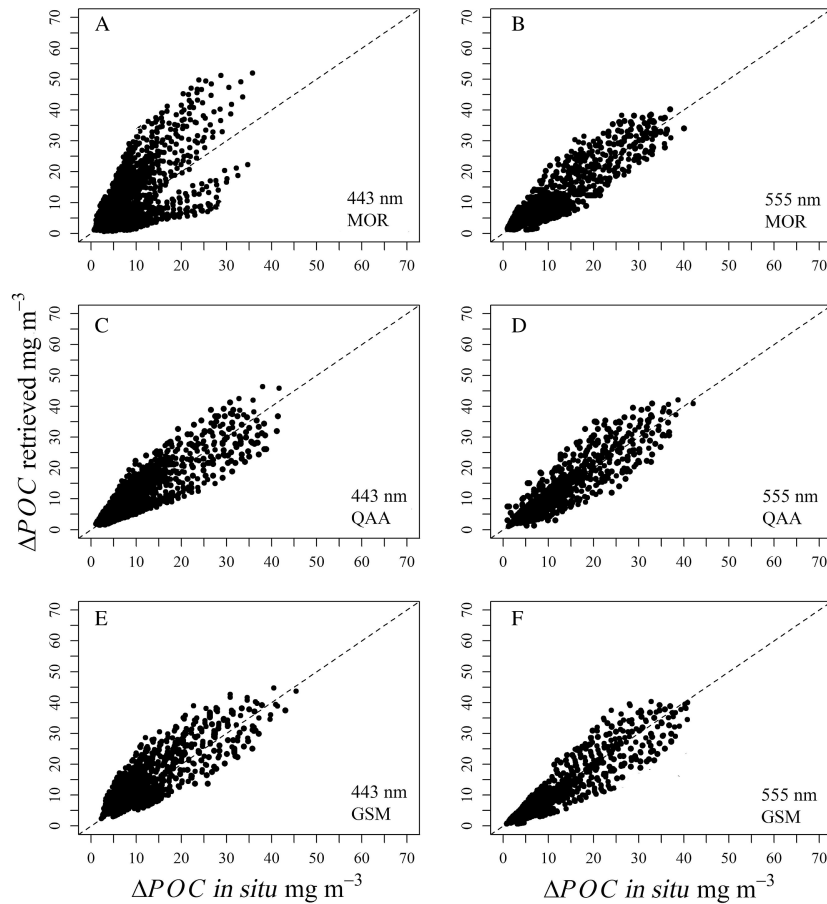
Would the MOR algorithm be ideally applied, i.e., being fed with high-accuracy measurements of  $R$ ,  $K_d$ , and [Chl] in view of deriving  $b_{bp}$  at several times of a day, the  $b_{bp}$  diel cycle might still be incorrectly retrieved. Average bio-optical relationships are built-in in such a [Chl]-based algorithm ([30] in the present case), which makes it incapable of accounting for local variations in the bio-optical relationships for a given water body at a given time of the day. The non-water total absorption per unit of [Chl] has reasonable chances to change as the photosynthetic process evolves during the day, for instance. Similarly, the backscattering

**Table 3. Number of Points ( $N$ ), Correlation Coefficient ( $r^2$ ), bias, Mean Absolute Error (MAE), and Root Mean Square Error (RMSE), Values Corresponding to Data Displayed in Figs. 7 and 8**

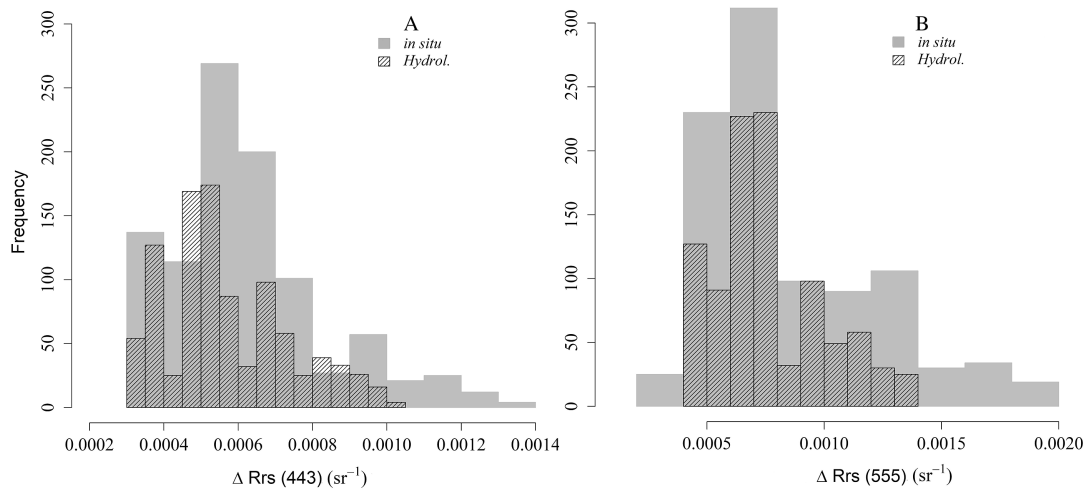
$\lambda$	$N$	$r^2$	bias	MAE	RMSE
<b><math>[\Delta b_{bp}]</math> retrieved versus in situ <math>[\Delta b_{bp}]</math></b>					
MOR					
443	1290	0.37	1.12	2.28	2.83
555	1290	0.78	0.86	1.61	1.78
QAA					
443	967	0.71	1.08	1.53	1.75
555	967	0.76	1.17	1.44	1.64
GSM					
443	1003	0.73	1.17	1.44	1.65
555	1003	0.79	0.91	1.49	1.75
<b><math>\Delta POC</math> retrieved versus in situ <math>\Delta POC</math></b>					
MOR					
443	1290	0.37	1.13	1.85	2.07
555	1290	0.78	1.03	1.39	1.43
QAA					
443	967	0.71	1.07	1.38	1.46
555	967	0.76	0.92	1.22	1.31
GSM					
443	1003	0.71	1.19	1.42	1.51
555	1003	0.77	0.87	1.36	1.47

ratio likely changes when phytoplankton grows and detritus are generated. As a consequence, the  $\mu_d$  and  $f'$  values extracted from lookup tables based on [Chl] might become inappropriate if the [Chl]-to-IOPs relationships embedded in the model differ significantly from the actual ones. These errors are among the likely causes of the dispersion observed in Fig. 7, for instance.

Such errors are usually considered as the unavoidable uncertainties in bio-optical inversions, however acceptable when inversion algorithms are only evaluated in their ability to derive inherent optical properties over several orders of magnitude changes. More subtle changes in IOPs ( $b_{bp}$ ) are here sought after, so that errors considered as acceptable when aiming at a global picture may become excessive when aiming at quantifying diel variability. This issue is not specific to the inversion algorithms used in this study, but rather inherent to most inversion algorithms currently used, which all include, at various degrees, some empirical parameterizations. The inversion of radiometric measurements in view of deriving the diel  $b_{bp}$  variability is therefore seriously challenged. Whatever their rationale and implementation, inversion algorithms would have to rely as little as possible on bio-optical relationships established from global databases if they were to be used to derive diel variability of  $b_{bp}$ . For instance, an algorithm such as the one used here [Eqs. (16) and (17)] could be modified so that lookup tables for  $\mu_d$  and  $f'$  are indexed on IOPs instead of [Chl], following



**Fig. 8.** Diel change of POC calculated from the diel change in  $b_{bp}$ , where  $b_{bp}$  was retrieved from inversion of AOPs, as a function of the same quantity derived from the field measurements. (A), (C), and (E) are for  $\lambda = 443$  nm and the MOR, QAA, and GSM algorithms, respectively. (B), (D), and (F) are for  $\lambda = 555$  nm and the same three algorithms. The dashed line indicates the 1:1 line.



**Fig. 9.**  $R_{rs}$  changes from its morning value to when it reaches its daily maximum, for  $\lambda = 443$  nm (A) and  $\lambda = 555$  nm (B). The hatched histogram is for the simulated data and the grayed histogram for the measurements.

a similar logic as the one proposed by the IOP-based approach of Lee *et al.* [54]. Another example going in that direction is the ZTT model [55].

The results presented here are based on high-quality field measurements. In the satellite configuration, however, the ocean reflectance is obtained after the top-of-atmosphere satellite observations have been corrected from atmosphere effects. It was here implicitly assumed that this atmospheric correction can be accurately carried out, so that the reflectance spectrum is obtained within the desired uncertainty at any time of the day. The impact of violation of this significant assumption is out of scope here and would require a dedicated study. We did a preliminary assessment of this question, however, by comparing the diel  $R_{rs}$  changes in our dataset and associated radiative transfer simulations (Fig. 9) to typical uncertainties in atmospheric corrections at the BOUSSOLE site, as reported in [20]. The root mean square errors in their Tables 2–4 are of about 0.001 to 0.0017  $\text{sr}^{-1}$  at 443 nm and 0.0004 – 0.0005  $\text{sr}^{-1}$  at 555 nm [values in that paper are reported as  $\rho = \pi R_{rs}$ ; their Eq. (6)]. These values are above the  $\Delta R_{rs}$  shown in Fig. 9 for  $\lambda = 443$  nm, suggesting that blue bands are not good candidates for retrieval of the  $R_{rs}$  diel variability. On the contrary, the  $R_{rs}$  uncertainty at 555 nm is below the  $\Delta R_{rs}$  shown in Fig. 9 for that wavelength. Random uncertainties are not necessarily the best measure to use here, however. Indeed, for given atmospheric conditions, inaccuracies of the atmospheric correction generally lead to consistent, either positive or negative, biases over the visible range, generally increasing toward shorter wavelengths. In such a case, the magnitude of the atmospheric correction error will matter less than its change as the solar elevation evolves over the day, resulting or not in masking the diel changes.

It should also be kept in mind that the BOUSSOLE dataset includes a majority of oligotrophic conditions, so that inversion algorithms were applied in conditions of low IOP values and a small diurnal signal. We should not, therefore, rule out the possibility to more accurately retrieve diel  $b_{bp}$  cycles from inversion of measured AOPs on the basis of this sole dataset. Major upwelling areas, as well as basin-scale phytoplankton blooms,

e.g., the northern Atlantic spring bloom, are areas where the  $b_{bp}$  values and the amplitude of their diel cycle are higher, so that  $b_{bp}$  could be retrieved with better accuracy than what is shown here. Specific studies of the diel variability of IOPs and daily changes in AOPs are therefore needed in such areas. Whenever feasible, they should include measurements of the quantities that are necessary to apply the algorithm with the desired inputs, such as measurements at high frequency of absorption or [Chl].

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**Disclosures.** The authors declare no conflicts of interest.

**Data availability.** Data presented in the paper can be obtained from the BOUSSOLE dataset, available in Ref. [22]. Other generated modeled data may be obtained upon request.

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